

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
Charles William Carrier, et al. :Group Art Unit: 3679  
Serial No. Not assigned yet :Examiner: A. Dunwoody  
Filed: Concurrently herewith :  
For INERTIA WELDED SHAFT AND METHOD THEREFOR

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WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION**

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A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

3/18/04

Date

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1

of

Application Number

Filing Date

First Named Inventor

Charles W. Carrier

Art Unit

3679

Examiner Name

A. Dunwoody

Attorney Docket Number

13DV-13811-2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 4,964,564	10-23-1990	Neal, et al.	
	2	US- 5,277,661	01-11-1994	Mak, et al.	
	3	US- 5,419,578	05-30-1995	Storey, et al.	
	4	US- 5,425,494	06-20-1995	Rosenthal, et al.	
	5	US- 5,937,708	08-17-1999	Ito, et al.	
	6	US- 6,131,797	10-17-2000	Gasdaska, et al.	
	7	US- 6,138,896	10-31-2000	Ablett, et al.	
	8	US- 6,210,283	04-03-2001	Wojciechowski, et al	
	9	US- 6,234,912	05-22-2001	Koschier, et al.	
	10	US- 6,240,720	06-05-2001	Tseng, et al.	
	11	US- 6,276,124	08-21-2001	Soh, et al.	
	12	US- 6,328,198	12-11-2001	Ohashi, et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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